

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/657,328	
	Filing Date	September 8, 2003	
	First Named Inventor	Gavan et al.	
	Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	6	Attorney Docket Number	COS94041C2

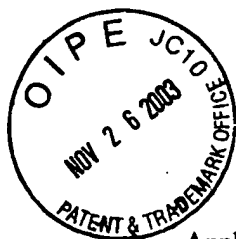
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<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eden U.I. Stright, Reg. No. 51,205 WorldCom, Inc.
Signature	
Date	November 25, 2003

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gavan et al. Confirmation No.: Unassigned  
Serial No.: 10/657,328 Art Unit: Unassigned  
Filed: September 8, 2003 Examiner: Unassigned  
Title: System, Method and Computer Program Product for Processing Event Records

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97, and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted within three months of the filing date of the application and prior to issuance of a first Office Action on the merits, therefore, no fee is due.

Under 37 C.F.R. § 1.98(d), no copy of any patent, publication, pending U.S. application or other information listed in this information disclosure statement is required to be provided, as the information was previously submitted to, or cited by, the Office in earlier Application No. 08/928,851, filed 12-Sep-1997, now U.S. Patent 6,601,048.

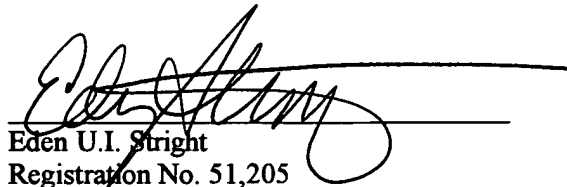
Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491 and please credit any excess fees to such deposit account.

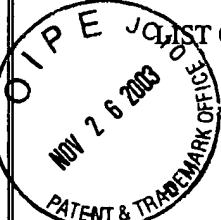
Respectfully submitted,

Date: November 25, 2003



Eden U.I. Stright  
Registration No. 51,205

WorldCom, Inc.  
1133 19<sup>th</sup> Street, NW  
Washington, DC 20036  
Phone: 202 736-6008  
Fax: 202-736-6382

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.  COS94041C2	SERIAL NO.  10/657,328
 LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT(S): Gavan et al.	
		FILING DATE September 8, 2003	GROUP Unassigned

## U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	4,002,848	01/1997	Stein			
	4,154,983	05/1979	Pedersen			
	4,464,543	08/1984	Kline et al.			
	4,805,209	02/1989	Baker, Jr. et al.			
	5,048,079	09/1991	Harrington et al.			
	5,003,584	03/1991	Benyacar et al.			
	5,159,698	10/1992	Harrington et al.			
	5,233,642	08/1993	Renton			
	5,263,120	11/1993	Bickel			
	5,291,547	03/1994	Boatwright et al.			
	5,311,572	05/1994	Friedes et al.			
	5,313,641	05/1994	Simcoe et al.			
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	5,327,144	07/1994	Stilp et al.			
	5,335,278	08/1994	Matchett et al.			
	5,345,595	09/1994	Johnson et al.			

## FOREIGN PATENT DOCUMENTS

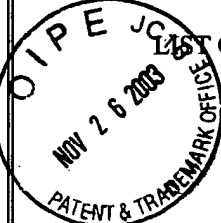
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	96/31043	10/1996	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Curet, O., The application of case-based reasoning to assist accountant in identifying top management fraud: a study of the problem domain and the methodological issues in the development, implementation and evaluation of a case-based learning and reasoning tool, Case Based Reasoning: Prospects for Applications, IEE Colloquium on, 1995, Pages: 8/1-8/4
	Garner, B.; Chen, F., Hypothesis generation paradigm for fraud detection, TENCON '94. IEEE Region 10's Ninth Annual International Conference. Theme: Frontiers of Computer Technology Proceedings of 1994, 22-26 Aug. 1994, Pages 197-201 vol. 1
	Fawett, T., "Adaptive Fraud Detection", Journal of Data Mining and Knowledge Discovery, Copyright 1997, Kluwer Academic Publishers, Norwell, MA, Vol. 1 1997, pp. 291-316

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.  COS94041C2	SERIAL NO.  10/657,328
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* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,375,244	12/1994	McNair			
	5,418,844	05/1995	Morrissey et al.			
	5,432,845	07/1995	Burd et al.			
	5,463,681	10/1995	Vaios et al.			
	5,475,746	12/1995	Miller et al.			
	5,495,521	02/1996	Rangachar			
	5,504,810	04/1996	McNair			
	5,506,893	04/1996	Buscher et al.			
	5,515,425	05/1996	Penzias et al.			
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	5,517,555	05/1996	Amadon et al.			
	5,574,782	11/1996	Baird et al.			
	5,586,175	12/1996	Hogan et al.			
	5,592,530	01/1997	Brockman et al.			
	5,596,632	01/1997	Curtis et al.			
	5,602,906	02/1997	Phelps			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Scarfe, R.T.; Shortland, R.J., Data Mining Applications in BT, Knowledge Discovery in Databases, [IEE Colloquium on], 1995, Pages 5/1-5/4
	Sternberg, M.; Reynolds, R.G., Using cultural algorithms to support re-engineering of rule-based expert systems in dynamic performance environments: a case study in fraud detection, Evolutionary Computation, IEEE Transactions on, Volume: 14, Nov. 1997, Pages: 225-243
	Watkins, P.R.; Lin, T.W.; O'Leary, D.E., AI integration for enhanced decision support, Systems Sciences, 1992. Proceedings of the Twenty-Fifth Hawaii International Conference on, Volume: III, 7-10 Jan. 1992, Pages(s): 133-144 vol. 3
	META Group, Inc. "Advanced Information Management", August 29, 1994.
	Calem, Robert E., "To Catch A Thief" Forbes ASAP, pp. 44-45, date unknown

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